

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Here Patent Application of:

David S. MILLER

Application No.: 09/776,707

Confirmation No.: 5703

Filed: February 6, 2001

For:

SYSTEM FOR COLLECTING

TAX DATA (As Amended)

Art Unit: 2163

Examiner: M. Irshadullah

Attorney Docket No. 31921-169140

Customer No.

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**GROUP 3600** 

## Information Disclosure Statement Under 37 C.F.R. § 1.97(b)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(b). The U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO-1449. One copy of each of the listed documents is submitted herewith.

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guidelines pertaining to Information

Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

Respectfully submitted,

Michael A. Sartori, Ph.D.

Registration No. 41,289

VENABLE

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 2 2 Sheet

Complete if Known				
Application Number	09/776,707			
Filing Date	February 6, 2001			
First Named Inventor	David S. MILLER			
Group Art Unit	2163			
Examiner Name	IRSHADULLAH, M.			
Attorney Docket Number	31921-169140	フ		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	Bi	Randall, et al., "First Looks", Massachusetts CPA Review, Vol. 70, No. 1, pp. 30-32, Winter 1996.			
	B2	Brozovsky, et al., "Tax Deposits Go Electronic", CPA Journal, Vol. 65, No. 12, pp. 53-54, December 1995.			
	В3	"Tax Prep Software: Out Third Annual 1040 Face-Off", Accounting Technology, Vol. 10, No. 10, pp. 20-63, November 1994.			
	B4	"SALES/USE Tax System", Taxware International, Inc., September 1999, http://www.taxware.com/ZProducts/salesuse/sutaxsys.html			
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet 1 of 2

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Group Art Unit	2163	·····	
Examiner Name	IRSHADULLAH, M.		
Attorney Docket Number	31921-169140		

				U.S. PATENT DOCUM	MENTS	
Examiner Initials *	Cite No.1	U.S. Patent Document  Kind Code <sup>2</sup> Number  (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Releva Passages or Relevant Figures Appear
	A1	5,799,283		Francisco et al.	08-25-1998	
	A2	6,183,140	B1	Singer et al.	02-06-2001	
	A3	6,289,319	B1	Lockwood	09-11-2001	
	A4	6,311,170	B1	Embrey	10-30-2001	
	A5	6,347,304	B1	Taricani, Jr.	02-12-2002	
	A6	5,875,433		Francisco et al.	02-23-1999	
	A7	5,505,461		Bell et al.	04-09-1996	
	A8	5,819,249		Dohanich et al.	10-06-1998	
	A9	5,875,435		Brown	02-23-1999	
	-		<del>                                     </del>			

					N PATENT DOCU	MENTS		
Examiner Cite Initials* No.1	0.40	Foreign Patent Document			Name of Patentee	Date of Publication of	Pages, Columns, Lines,	<u></u> .
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.